CITY OF APPLETON POLICY	TITLE: ACCIDENT REPORTING A	AND INVESTIGATING
ISSUE DATE: October 2002	LAST UPDATE: January 2004; May 2005; July 2008; June 2010, April 2015	SECTION: Safety
POLICY SOURCE: Human Resources Department	POLICY APPLICATION: All City Employees and Volunteers	TOTAL PAGES: 15
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I. PURPOSE

The purpose of this policy is to outline responsibilities and procedures for supervisors and employees when involved in accidents or injuries on work time, prevent future accidents, and to meet Federal Occupational Safety and Health Administration and State Department of Workforce Development recording requirements.

II. POLICY

The City is committed to working with its employees to provide a safe working environment and to manage and administer claims as a result of City accidents. In order to prevent accidents, timely and accurate accident investigation is essential. This policy provides guidelines for proper investigation. Failure to follow this policy may result in disciplinary action, up to and including discharge.

III. PROCEDURES

All City of Appleton employees and volunteers should adhere to the following procedures when an accident or injury occurs. All accidents and injuries must be immediately reported to the employee's supervisor and to the Human Resources Department. A supervisor must fully investigate the incident and work with his/her employee to complete and return an Accident Investigation Report (Exhibit A) to Human Resources within 48 hours of the accident or injury if:

- The accident involves damage to City property in excess of \$500
- There is an injury requiring medical treatment
- When the accident involves non-City employees or non-City owned property (even if there is no visible damage to the property).
- The accident occurs in the Public Right of Way.

If the accident does not meet the above criteria, the incident should be documented by completing the short Accident Reporting form (Exhibit D).

A. Motor Vehicle Accidents

- 1. Immediately call 911 and report the accident to your supervisor.
- 2. Should a supervisor have reasonable suspicion to believe the employee is under the influence of alcohol or drugs or an accident involves an injury or more than \$500 worth of damage, the supervisor should follow the procedures outlined in the City's Drug-Free Workplace policies.
- 3. Post Accident Testing Employees who are involved in an accident while operating a motor vehicle or City equipment may be required to submit to testing based on the circumstances.
- 4. The filing of a State Accident Report is at the discretion of the Police Department, per State guidelines.

B. Injuries to a City Employee

- 1. When the injury occurs: the employee shall immediately notify his/her supervisor. The employee or the supervisor should also contact the Police Department if the injury is a result of a motor vehicle or domestic animal bite.
- 2. If immediate medical attention is needed, the employee or witness shall call 911 or Gold Cross non-emergency (920-727-3034). The employee's supervisor may also provide transportation.
- 3. Report Incidents to 800-321-OSHA (6742). All work-related fatalities must be reported within 8 hours. All work-related inpatient hospitalizations, amputations and loss of an eye must be reported within 24 hours. Fatalities or life-threatening injuries should be reported to the employee's department director and Human Resources (920-832-5838 or 920-832-6457) immediately.
- 4. If immediate medical attention is not needed, the employee may obtain treatment from his/her choice of medical providers. Employees must have a physician's authorization for time lost due to a work related injury.
- 5. If medical attention is sought, the employee must submit a return-to-work slip (Exhibit B) to their supervisor <u>prior</u> to returning to work.
- 6. If medical attention is not sought, the employee shall log the injury into the department's first aid log, which should be initialed by a supervisor.
- 7. If the incident includes a possible bloodborne pathogens exposure, refer to the City's Bloodborne Pathogen policy.
- 8. The City has the right to verify an injury/illness through an independent medical exam.

C. Injuries to the Public or Damage to Property Not Owned by the City of Appleton

1. All accidents resulting in an injury requiring emergency medical services to any person not employed by the City of Appleton or damage to property not owned by the City of Appleton should be immediately reported to 911, the employee's supervisor and the Human Resources Department.

- 2. Employees should not admit liability, discuss City operations or comment on any incident or accident involving members of the public.
- 3. If a citizen wishes to file a claim against the City, he/she should be directed to the City Clerk's Department office. The Clerk's Department office will forward the claim to the Human Resources Department for follow up and response to the claimant.

D. Damage to City Property or Equipment

City owned automobiles and property that are damaged by fire, theft, vandalism, etc., are considered property damage claims. All damage should be reported to the employee's supervisor immediately.

- 1. If vandalism or a theft loss occurs, notify the Police Department.
- 2. If fire occurs, notify the Fire Department.
- 3. Damage estimates will be required if repairs are needed.
- 4. If the damage is \$500 or more, the supervisor will investigate and return the completed City Accident Investigation Report (Exhibit A) to the Human Resources Department within 48 hours.
- 5. If the damage is under \$500, the supervisor should complete Appleton's Accident Short Form (Exhibit D) and forward a copy to the Human Resources Department.
- 6. Any monetary recoveries shall be reported to the Human Resources Department and sent to the Finance Department.

IV. RESPONSIBILITIES

A. The Human Resources Department is responsible for:

- 1. The overall coordination of the accident investigation program, including:
 - a. Monitoring and reviewing all investigations to ensure accuracy and prompt response.
 - b. Providing technical assistance to supervisors when needed.
 - c. Offering training for all individuals who conduct accident investigations.
 - d. Following up to see that recommendations made as a result of an investigation are evaluated and that an appropriate course of action is taken.

B. Each Department Director (or designee) is responsible for:

- 1. Ensuring that an investigation is completed for every work injury or accident that involves his/her employee(s), and reviewing all investigations to ensure accurate and prompt response.
- 2. Evaluating recommendations that come out of each accident investigation and taking appropriate actions to prevent future accidents.
- 3. Following up to see that corrective action is implemented.
- 4. Ensuring all departmental accident investigation forms are completed and submitted within 48 hours to Human Resources.

C. Supervisors are responsible for:

- 1. Promptly reporting all accidents to Human Resources. Contacting Human Resources as soon as possible if a serious accident occurs or if the employee seeks medical treatment or misses work due to an injury sustained on the job.
- 2. Investigating and documenting all accidents properly, including completing and submitting the City's Accident Investigation Report (Exhibit A) within 48 hours to Human Resources.
- 3. Working with the Human Resources Department, the employee and his/her medical provider to return the employee to work on restricted or full duty.
- 4. Obtaining the employee's completed Return-to-Work Slip (Exhibit B) prior to the employee returning to work. The supervisor should forward this form to Human Resources upon receipt from the employee or employee's physician.
- 5. Supervisors may choose to use Exhibit C to assist them when investigating an accident or injury.

D. Employees are responsible for:

- 1. Reporting all accidents immediately.
- 2. Cooperating fully with a City investigation.
- 3. Working with his/her supervisor to complete and submit the Accident Investigation Report (Exhibit A) to Human Resources within 48 hours of the accident or injury.
- 4. Providing a completed Return-to-Work slip (Exhibit B) to his/her supervisor prior to returning to work if he/she sought medical treatment or missed work due to an accident or injury sustained on the job. If the injury or accident results in an extended absence, the employee is required to keep in contact with his/her supervisor and/or HR Generalist to keep the City informed of his/her progress and anticipated treatment plan.
- 5. Ensuring that a supervisor initials his/her first aid log entry if the employee chooses to not seek formal medical treatment.

Exhibit A

REPORT INCIDENTS TO 800-321-OSHA (6742). ALL WORK-RELATED FATALITIES MUST BE REPORTED WITHIN 8 HOURS. ALL WORK-RELATED INPATIENT HOSPITALIZATIONS, AMPUTATIONS AND LOSS OF AN EYE MUST BE REPORTED WITHIN 24 HOURS. THIS REPORT MUST BE SENT TO HR AND DIRECTOR WITHIN 48 HOURS. FAX TO 832-5845

THIS REPORT MUST BE SENT TO HR AND DIRECTOR WITHIN 48 HOURS. FAX TO 832-5845 ALL VEHICLE ACCIDENTS (EXCEPT FOR VALLEY TRANSIT) MUST BE SENT TO CEA. FAX TO 832-5570

ALL V	EHICLE ACCII	DENTS (EXCEPT	FOR VALLEY	TRANSI	Γ) MUS	T BE SENT TO	CEA. I	FAX TO 832-5570	
	Date:	_	Incident #:						
Date/Time Fax	ed to HR:		Date/I	Γime Faxe	d to Dep	t. Director:			
(CITY OF	APPLE	TON IN	VES	TIG	ATION	RE	PORT	
employee is unat incident. An em		s/her account of the required.						ours of the incident. If to	
Name:	INF OKWATIC	J14.							
Home Address			City		State	Zip	Home Tele	ephone Number	
Date and Time of	Incident	Date Incident Was	Reported		Departm	nent and Job Title			
Specific Location	of Incident (Dept., S	treet, Road):							
Witness(s):			2	<u>.</u>				City Vehicle Number	
1.									
Photographs Take	en by:					Were Police :	at Accident	t Scene? TYes TNo	
Did the emplo	yee receive trea	om work due to tment? Yes	□ No	_ Doctor	:	Last day wor			
				J RY IN	CIDI	ENT			
 When Injury/Illness occurs on the job, Supervisors will: Determine the extent and nature of the injury/illness. See that proper first aid is administered. Activate EMS (911), if necessary. In case of fatality or serious injury notify Human Resources Department immediately 832-5838 or 832-6457. Accompany the employee to a doctor if the employee is unable to drive or call Gold Cross Medical Transport 727-3034. If not an emergency, send a return to work form with the employee. 					etermine to currence. Ivise Hun ork. Requ	Replenish the firs nan Resources Den	nt and corr t aid supply ot. when an ase before	rect the hazard to prevent y after use. n employee returns to permitting return. Be sure	
Type of Injur	ry:	☐E. Acupunctu	re	Type of	f Incide	ent:			
A. Bruise		☐F. Burns		☐A. Ca	ught bet	ween	☐F. S	Struck against	
☐B. Strain/Spi	rain	☐G. Foreign Bo	ody	☐B. Str	uck by		□G. S	Slip, trip, fall	
C. Puncture/needle manufac		☐H. Disoriente	d	☐C. Ing	gested/In	haled/Inhaled	☐H. S	Strain, overexertion	
		☐I. Infection		☐D. Sti	ng/bite		☐I. Li	ifting, pulling, etc.	
D. Fracture J. Other:					rns		□J. O	Other:	
Part of body injured:					y of Ind	cident:			
Arm	Finger	Internal	Shoulder	☐First a	aid only		Res	tricted Duty	
Back	Foot	Knee	Птое	Medic	cal Treat	ment	Fata	ality	
Elbow	Hand	Leg	Other:	Lost 7	Гіте		+		

 \square Eye(s)

Head

Mouth

EMPLOYEE'S ACCOUNT

Describe the Incident/ Include details:

Besen	ice the includ	ing include details.
Where did this occur:		
When did this occur:		
What were you doing just prior to the incident	<i>t:</i>	
How did this incident occur:		
Can the employee and/or supervisor suggest a might make it less likely for a similar incident		procedure or improvements to equipment that, if made, e future?
Unsafe Practice Operating without authority Failure to warn or secure Operating at an improper speed Making safety devices inoperable Using defective equipment Using equipment improperly Alcohol/Drugs Suspected Overexertion Stress/Fatigue/Attitude Inadequate training		Failure to use PPE properly Improper loading or placement Improper lifting Improper position Servicing equipment in motion Inattention Horseplay Failure to comply with rules or procedures Other:
Unsafe Condition Inadequate guards or protection Defective equipment tools or material Congestion Inadequate warning Fire/Explosion hazards Poor housekeeping		☐ Inadequate ventilation ☐ Excessive noise ☐ Inadequate lighting ☐ Assault/Horseplay ☐ Weather ☐ Other:
The above statement is true and correct to the	best of my kn	owledge.
Signature:	Date:	Time:

SUPERVISOR ANALYSIS

1. Supervisor summary of the incident:	
2. What can be done to prevent this type of accident in the might make this type of accident less likely in the future?	e future? What improvements to equipment or procedures
3. Were you at the accident scene: before while oc	curring or after the incident?
4. Describe corrective action recommended or state why o	corrective action is not warranted?
Unsafe Practice Operating without authority Failure to warn or secure Operating at an improper speed Making safety devices inoperable Using defective equipment Using equipment improperly Alcohol/Drugs Suspected Overexertion Stress/Fatigue/Attitude Inadequate training	Failure to use PPE properly Improper loading or placement Improper lifting Improper position Servicing equipment in motion Inattention Horseplay Failure to comply with rules or procedures Other:
Unsafe Condition Inadequate guards or protection Defective equipment tools or material Congestion Inadequate warning Fire/Explosion hazards Poor housekeeping	☐ Inadequate ventilation ☐ Excessive noise ☐ Inadequate lighting ☐ Assault/Horseplay ☐ Weather ☐ Other:
EMPLOYEE'S SIGNATURE:	DATE:
SUPERVISOR'S SIGNATURE:	DATE:

REVIEWED BY DIRECTOR'S SIGNATURE:	DATE:

ACCIDENT DIAGRAM

☐Motor V	ehicle (Complete if No Police Repor	t) Personal Injury Personal	onal Prope	rty Damage
	AID FOR DIAGRAMMIN	I G: (please check included iter	ns)	
	□ Show vehicles: □ City "A" & □ Other "B" □ Label vehicles (A & B) □ Label street signs/type of sign/ locations □ Location of accident	☐ Illustrate position of vehicles at tir ☐ Major reference points ☐ Location of victim/victim injuries		1
NARRATIVE:				
Witness:		Phone:		
Address:		City:	State:	Postal Code:
Witness:		Phone:	I	<u> </u>
Address:		City:	State:	Postal Code:
		-	L	l

WITNESS REPORTING FORM

Name:	I	Date:	
Address:	7	Γime:	AM/PM
Phone:	I	nterviewer:	
City Employee: Yes No			
Were you at the accident scene:	Before accident occur. While accident was After accident occur.	occurring	
Who was involved in the accident?			
Where did the accident happen?			
When did the accident happen?			
How did the accident happen?	_		
Describe in detail the events that occ	curred before the acciden	i t as you ren	nember themaccident as you observed it:
In your opinion, what were the major	r contributing factors wl	nich caused (the accident?

(Use back for diagram if necessary.)

PROPERTY DAMAGE INCIDENT

Instructions:							
City property only	1. If over \$500, investigate and report to Human Resources Department.						
	2. If under \$500, complete Appleton's Accident Short Form (Exhibit D) and forward to	Human Resources.					
Private property involved	1. Must be investigated by Supervisor.						
	2. Notify Human Resources Department within 24 hours.						
	3. Copy of report to Human Resources Department within 48 hours.						
	4. Report to Department personnel responsible for claims.						
Property Damaged:							
City Equipment Invo	olved (No.):						
Nature of Damage:							
Turung of Burninger							
Estimated Cost:							
Estimated Cost.							
Owner Name:							
Address:							
City:	State: Postal Code:						
Phone:							
Insurance Company:	:						

INJURY/PROPERTY DAMAGE CAUSED BY ACCIDENT

Complete if No Police Report for each person claiming injury or property damage. Use a second form if necessary.

	Accident involved (Check appropriate box)													
☐ Property Damage Only Were Police at Accident Scene? ☐ Yes ☐ No					0									
	Bodily Injury Only							REI						
	Property Damage and Bodily Inju	ıry	Municipality:		Ba	dge No:		REQUIRED	S	IES				AGE
	Fatality		***	4	.4	🗆 🗆 🗸	NT.	REC	RIE	UR			Ę	
] Fatality and Property Damage] All of the Above		Was supervisor a	it accidei	it scer	ie? LYes L	No		UIN	N.	S	SN	ICI	TE
	None of the Above							N		Ę	GER	UA]	ÆH	/WI
	None of the Above							JL	ME	RE	EN	STF	R V	XO
	INJURED PERSONS							AMBULANCE	CLAIMED INJURIES	APPARENT INJURIES	PASSENGERS	PEDESTRIANS	OTHER VEHICLE	APPROXIMATE
	NAME		ADDRESS			CITY		()	СНЕС		OR MO		OR EA	СН
1										П		П	П	П
2														
3														
4										П				П
P	ROPERTY DAMAGE TO SEC	OND P	ARTY											
	EGISTERED OWNER	01101	ADDRESS				CIT	v			рг	HONE		
K	EGISTERED OWNER		ADDRESS				CII	1			1	IONE		
Di	RIVER		ADDRESS		CITY				PE	PHONE				
Dl	RIVER'S LICENSE #	LICENS	E PLATE #	VEHIC	LE M	AKE & MODEI					M	ODEL '	YEAR	
IN	SURANCE COMPANY		POLICY #			DESCRIPTIO	ON OF	DAMA	.GE					
P	ROPERTY DAMAGE TO THI	RD PAI	RTY											
R	EGISTERED OWNER		ADDRESS				CIT	Y			PE	IONE		
D	RIVER		ADDRESS				CIT	Y			PH	IONE		
D	RIVER'S LICENSE #	LICENS	E PLATE #	VEHIC	LE M	AKE & MODEI					M	ODEL '	YEAR	
IN	SURANCE COMPANY		POLICY #			DESCRIPTIO	ON OF	DAMA	GE.					
-														
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ALL REPORTS FOR CITY VEHICLE ACCIDENTS (EXCEPT VALLEY TRANSIT) MUST BE FAXED TO CEA (832-5570).

MOTOR VEHICLE INCIDENT **Complete if No Police Report** TYPE OF ACCIDENT **Collision With** Type of Collision Pedestrian/Bicycle Accident Other Vehicle **☐**Side Swipe Angle In Crosswalk **□**Near Curb Pedestrian ☐Rear End Head on City Vehicle ☐ Turn Right **■** Broadside Mid-Block Fixed Object ☐Turn Left Backed up Marked Trail VEHICLE VEHICLE **VEHICLE MOVEMENTS** City Other City Other **Direction Traveled Stopped** MPH Slowing/Stopping **Estimated** speed when danger first noticed? MPH **Estimated speed at time of accident?** MPH MPH **Changing Lanes Turning** Type of signal given by City vehicle? П Pulling into curb Type of signal give by other vehicle? Pulling away from curb Moving straight in its lane П Merging CITY VEHICLE INVOLVED (NO.): **Backing Parking** \Box \Box Other: TRAFFIC & ENVIRONMENTAL CONDITIONS Traffic Controls City Other Vehicle Weather Street Conditions Light **Exterior Lights** Stop Sign Overcast Dry Daylight On Off П Signal Fair Muddy Dark Yield Rain ☐Snowy/Slushy Dark w/Street lights Interior Lights Flagman/Police Officer Fog Slick/Oily □Dawn On Off Snow П П R.R. Crossing Wet Dusk Barricades Sleet ☐ Icy Warning Lights

ALL REPORTS FOR CITY VEHICLE ACCIDENTS (EXCEPT VALLEY TRANSIT) MUST BE FAXED TO CEA (832-5570).

Other:

On Off

Other:

Other:

None

Exhibit B

Employee Return-to-Work Form

EMPLOYEE WORK RESTRICTIONS

Pa	tient Name:										
Cu	ırrent Job:		Part Time □ 1 st S	hift □ Sun. □ Thurs. □							
Ph	ysician Name (please prin	nt):									
Ph	one:	Fax:									
Da	nte you saw patient:	Time In: Injury Date:		Full Time□ 2n Seasonal □ 3rd							
Pa	tient Description of Injury	r		Temporary □ Sv —— Next scheduled v					_		
Di	agnosis:			Shift Shift Supervisor:							
Tr	eatment:										
	escription strength medica	ntions ordered □ Yes □ No									
	an:	Patient is unable to work at this time. Recommend his/her return to work with no limitat He/She may return (DATE) with a datand/or with the following limitations until	aily time limitation	of							
	Α.	CHECK ONLY AS RELATES TO ABOVE CO	ONDITION								
_ _	articles as dockets, ledgers, sitting, a certain amount of sedentary if walking and state LIGHT WORK. Lifting 20 up to 10 pounds. Even thou category when it requires we the time with a degree of put LIGHT MEDIUM WORK. objects weighing up to 20 pm MEDIUM WORK. Lifting weighing up to 25 pounds. LIGHT HEAVY WORK. Lifting up to 40 pounds. HEAVY WORK. Lifting 1 weighing up to 50 pounds.	50 pounds maximum with frequent lifting and/or carrying Lifting 75 pounds maximum with frequent lifting and/or carrying	ne which involves to duties. Jobs are criteria are met. Cobjects weighing obtain this was sitting most of carrying of gof objects arrying of objects	N=Never/Not Able O=Occasional up to 4 time Specify Restrictions for 24 Sitting/Driving Standing/Walking Climbing Bending Kneeling/Squatting/Crawling Reaching-Horiz/push-pull Reaching-Vert/above shoulder Gross Handling Finger Manipulation Single Grasping Repetitive Foot Movement	4 da	r. ıy			ver 3	0x/hr.	
ГО	THER INSTRUCTIONS ANI	D/OR LIMITATIONS:									
SC	CHEDULED APPOINTMEN	TS:									
	Referral □ Clinic Referral □ Clinic			Date: 			Гіте: _Time	:			
Tir	me Out:	☐ Called Employer Date Signature _									
	ereby authorize my attending entified on this form to my en	physician and/or hospital to release any information or conployer or his representative.	pies thereof acquired	in the course of my examina	atio	n or	treatr	nent for	the i	njury	
PA	TIENT'S SIGNATURE		Date								
–– PH	IYSICIAN'S SIGNATURE		Date								

Exhibit C

ACCIDENT INVESTIGATOR'S CHECK LIST

	Time	AM/PM Date
A.		Make visual check to see if scene is properly protected against further accident situations. Call Police if necessary. Treat injured.
В.	34	
C.		
	Where di	id it happen?
	happened	it happenDescribe what 12.
	What cau	used it to happenWhere there any observable causes or contributing factors (such as weather conditions,
		s responsible?
	How cou	ld-Are there ways a similar incident could be avoided or corrected?

Exhibit D

ACCIDENT REPORTING SHORT FORM

PROCEDURE:

- 1. Complete this form for all incidents which result in damage to City property estimated under \$500.
- 2. The City of Appleton Accident Investigation report (long form) should be completed for injuries that result in seeking medical attention (other than first aid), damage to City property estimated over \$500, or when there is any damage to non-City owned property.

EMPLOYEE ACCOUNT SUMMARY Employee name:				
Date/time of incident:	Vehicle #:			
Location of incident:				
Describe how the incident occurred:				
Describe any injuries you received (if applicable).				
Describe damage to City property (if applicable).				
*Once completed, this form should be e-mailed to your supervisor for final completion. To e-mail, click the				
Microsoft Office Button (upper left hand corner), point to Send, and	nd then click E-mail .			

SUPERVISOR ACCOUNT SUMMARY

Name of Supervisor:

Incident # (applicable for Police personnel only):

Describe how this incident occurred.

Describe corrective action recommended or state why corrective action is not warranted.

*Once completed, e-mailed to Human Resources (humanresources@appleton.org). To e-mail, click the Microsoft Office Button (upper left hand corner), point to Send and then click E-mail or save the document and attach to an email that you prepared.